

15541 U.S. PTO
09/25/03

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Our Docket No.: **S863.12-0001**

Date: **September 25, 2003**

First Named Inventor: **Richard Jean-Pierre**

Title: **PRESCRIPTION DRUG COMPLIANCE MONITORING
SYSTEM**

Express Mail No.: **EV 302259710 US**

03945 U.S. PTO
10/670595
09/25/03

APPLICATION ELEMENTS

ADDRESS TO:

**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

1. ☒ Fee Calculation Sheet
(Submit an original and a duplicate for fee processing)

2. ☒ Applicant claims small entity status

3. ☐ Specification Total Pages **[27]**
- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed. Sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims
- Abstract of the Disclosure

4. ☒ Drawings (35 U.S.C. 113)

Total Sheets **[13]**

5. ☒ Oath or Declaration

Total Pages **[2]**

a. ☒ Newly Executed (original or copy)

b. ☐ Copy from a prior application (37 C.F.R. 1.63(d) - for continuation/divisional with Box 18 completed)

[Mark Box 5 below]

I. ☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b)

6. ☒ Application Data Sheet. See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission (*If applicable, all necessary*)
- a. ☐ Computer Readable Copy (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies; or
- ii. ☐ Paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. 3.73(b) Submission
- ☐ Power of Attorney
11. ☐ English Translation Document (*if applicable*)
12. ☐ Information Disclosure Statement with copies of Citations as necessary
13. ☐ Preliminary Amendment Total Pages ☐
14. ☒ Return Receipt Postcard (*Should be specifically itemized*)
15. ☐ Certified Copy of Priority document(s) (*If foreign priority is claimed*)
16. ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Application must attach form PTO/SB/35 or its equivalent
17. ☐ Other

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation

☐ Division

☐ Continuation-in-part (CIP) of prior Application No.

Prior Application Information: Examiner Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

ATTY NAME
AND REG. NO.

**David R. Fairbairn.
Reg. No. 26,047**

SIGNATURE:



ADDRESS

**Kinney & Lange, P.A.
THE KINNEY & LANGE BUILDING
312 South Third Street
Minneapolis, MN 55415-1002**

TELEPHONE

(612) 339-1863

FAX: **(612) 339-6580**

FEE TRANSMITTAL

Complete if Known

Application No.

Filing Date

First Named Inventor

Group Art Unit

Examiner Name

Herewith

Richard Jean-Pierre

Atty. Docket Number

S863.12-0001

Total Amount of Payment \$567

METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to Deposit Account No.11-0982. Deposit Account Name: Kinney & Lange, P.A. A duplicate copy of this communication is enclosed

2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code | Fee (\$) | Small Entity Fee Code | Fee (\$) | Fee Description |
|-----------------------------|-------------|-----------------------------|-------------|--|
| 1001 | 750 | 2001 | 375 | <input checked="" type="checkbox"/> Utility Filing Fee |
| 1006 | 330 | 2006 | 165 | <input type="checkbox"/> Design Filing Fee |
| 1004 | 750 | 2004 | 375 | <input type="checkbox"/> Reissue Filing Fee |
| 1005 | 160 | 2005 | 80 | <input type="checkbox"/> Prov. Filing Fee |

Subtotal (1) \$375

2. EXTRA CLAIM FEES

| | Number Claims | Prior** | Extra | Fee from Below | Fee Paid. |
|---------------------------|------------------|---------|-------|-------------------|-----------|
| Total | 32 | - | 20 | = 12 x 9 = | 108 |
| Indep. | 5 | - | 3 | = 2 x 42 = | 84 |
| Multiple Dependent Claims | | | | * = | * |

**Insert 3 and 20, or number previously paid if greater; Reissue see below

| Large Entity Fee Code | Fee (\$) | Small Entity Fee Code | Fee (\$) | Description |
|-----------------------------|-------------|-----------------------------|-------------|---|
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 84 | 2201 | 42 | Independent claims in excess of 3 |
| 1203 | 280 | 2203 | 140 | Multiple Dependent Claim |
| 1204 | 84 | 2204 | 42 | Reissue Independent Claims Over Original Patent |
| 1205 | 18 | 2205 | 9 | Reissue claims in excess of 20 and over original patent |

Subtotal (2) \$192

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

| Large Entity Fee Code | Fee (\$) | Small Entity Fee Code | Fee (\$) | Fee Description | Fee paid |
|-----------------------------|-------------|-----------------------------|-------------|--|----------|
| 1051 | 130 | 2051 | 65 | Surcharge - Late filing fee or oath | * |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | * |
| 1053 | 130 | 1053 | 130 | Non-English specification | * |
| 1812 | 2,520 | 1812 | 2,520 | For Filing a Request for Reexamination | * |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | * |
| 1252 | 410 | 2252 | 205 | Extension for reply within second month | * |
| 1253 | 930 | 2253 | 465 | Extension for reply within third month | * |
| 1254 | 1,450 | 2254 | 725 | Extension for reply within fourth month | * |
| 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | * |
| 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | * |
| 1403 | 280 | 2403 | 140 | Request for oral hearing | * |
| 1814 | 110 | 2814 | 55 | Terminal Disclaimer Fee | * |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | * |
| 1453 | 1,300 | 2453 | 650 | Petition to revive - unintentional | * |
| 1501 | 1,300 | 2501 | 650 | Utility/Reissue issue fee | * |
| 1502 | 470 | 2502 | 235 | Design issue fee | * |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | * |
| 1807 | 50 | 1807 | 50 | Petitions related to provisional applications | * |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Statement | * |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | * |
| 1801 | 750 | 2801 | 375 | Request for Continued Examination (RCE) | * |

Other fee (specify) _____

Subtotal (3) \$0

Signature

David R. Fairbairn

Reg. No.

26,047

Date

9/25/03

Deposit Account No.

11-0982